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OJ 650-833-2055
PJ 650-833-2001

FAX TRANSMISSION COVER SHEET

May 20, 2004

<u>To:</u>	<u>Telephone:</u>	<u>Fax Number:</u>
U.S. Patent and Trademark Office Attn.: Examiner Holmes	1-703-308-6280	1-703-746-5423

From: Timothy W. Lohse Attorney Docket Number: 1040659-991101
650-833-2055

Re: U.S. Patent Application:
Serial No.: 09/805,646 Filing Date: March 13, 2001
Examiner: Michael B. Holmes Art Unit: 2121
Title: AUTOMATED PROCESS GUIDANCE SYSTEM

Pages: - 19 - (including this form) Originals: will be mailed will not be mailed

If there is a problem with this transmission, please call (650) 833-1548
Fax Operator/Ext.

Message:

Attached are a cover letter from Timothy Lohse and copies of the following documents:

1. Transmittal Form;
2. Fee Transmittal;
3. Response to Office Action;
4. Information Disclosure Statement;
5. Check; and
6. Stamped return postcard listing the above documents;

I hereby certify that this correspondence is being facsimile transmitted to the United States
Patent and Trademark Office, Attention Examiner Holmes, at Fax No. 703-746-5423 on May 10 2004

Cathy Pittman
Cathy Pittman

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(Form Rev. 6/5/00)

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PAGE 1/19 * RCVD AT 5/20/2004 2:00:18 PM [Eastern Daylight Time] * SVR:USPTO-EFXRF-2/2 * DNIS:7465423 * CSID:650 833 2001 * DURATION (mm:ss):05:56

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2000 University Avenue
 East Palo Alto, CA 94303-2248
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 OJ 650-833-2055
 FJ 650-833-2001

May 20, 2004
 VIA FACSIMILE - 703-746-5423

OUR FILE NO. 1040859-991101

Examiner Holmes
 United States Patent and Trademark Office

**Re: Submission of Response to Office action dated August 26, 2003
 U.S. Patent Application Serial No. 09/805,646**

Dear Examiner Holmes:

Per your request, attached are true and correct copies of the following documents that were submitted by myself on November 26, 2003 via first class mail in response to an office action mailed on August 26, 2003:

- 1) a transmittal form (with a signed certificate of first class mailing);
- 2) a fee transmittal;
- 3) a response to the office action (with a signed certificate of first class mailing);
- 4) an Information Disclosure Statement (with a signed certificate of first class mailing);
- 5) a check in the amount of \$180; and
- 6) a return postcard listing the above documents and stamped by the US Patent Office indicating receipt of the above documents on December 1, 2003.

Very truly yours,

Gray Cary Ware & Freidenrich LLP

Timothy W. Lohse
 tlhse@graycary.com

TWL:twl
 Enclosures
 Gray Cary EMA7166341.1
 1040859-991101

PTO/SB/21 (08-03)

Approved for use through 07/31/2008. OMB 0651-0031
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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TRANSMITTAL FORM <i>(To be used for all correspondence after initial filing)</i>		Application Number	09/805,646
		Filing Date	March 13, 2001
		First Named Inventor	Mark Grimes et al.
		Art	2121
		Examiner	Michael B. Holmes
Total Number of Pages in This Submission	1	Attorney Docket Number	1040659-991101

FCT AVAILABLE COPY

ENCLOSURES (Check all that apply)

<input type="checkbox"/> Fee Transmittal Form	<input type="checkbox"/> Drawing(s)	<input type="checkbox"/> After Allowance Communication to Group
<input checked="" type="checkbox"/> Fee Attached	<input type="checkbox"/> Licensing-related Papers	<input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences
<input checked="" type="checkbox"/> Amendment/Reply	<input type="checkbox"/> Petition	<input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)
<input type="checkbox"/> After Final	<input type="checkbox"/> Petition to Convert to a Provisional Application	<input type="checkbox"/> Proprietary Information
<input type="checkbox"/> Affidavits/declaration(s)	<input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address	<input type="checkbox"/> Status Letter
<input type="checkbox"/> Extension of Time Request	<input type="checkbox"/> Terminal Disclaimer	<input type="checkbox"/> Other Enclosure(s) (please identify below):
<input type="checkbox"/> Express Abandonment Request	<input type="checkbox"/> Request for Refund	13 References
<input checked="" type="checkbox"/> Information Disclosure Statement	<input type="checkbox"/> CD, Number of CD(s) _____	Return Postcard
<input type="checkbox"/> Certified Copy of Priority Document(s)	Remarks	
<input type="checkbox"/> Response to Missing Parts/ Incomplete Application	The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 07-1896. A duplicate copy of this sheet is enclosed.	
<input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	Customer No. 26379	

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual	Timothy W. Lohse, Reg. No. 36,355 Gray Cary Ware & Freidenrich LLP
Signature	
Date	November 26, 2003

CERTIFICATE OF TRANSMISSION/MAILING

I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below.

Typed or printed	Kathleen LaBrie
Signature	
Date	November 26, 2003

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P. O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.

PTO/SB/17 (10-03)

Approved for use through 07/31/2008. OMB 0651-0032
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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FEE TRANSMITTAL

for FY 2004

Effective 10/01/2003. Patent fees are subject to annual revision.

 Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$ 180.00)

Complete If Known

Application Number	09/805,646
Filing Date	March 13, 2001
First Named Inventor	Mark Grimse et al.
Examiner Name	Michael B. Holmes
Art Unit	2121
Attorney Docket No.	1040659-991101

METHOD OF PAYMENT (check all that apply)

 Check Credit card Money Order Other None
 Deposit Account:

Deposit Account Number	07-1896
Deposit Account Name	Gray Cary Ware & Freidenrich LLP

The Director is authorized to: (check all that apply)

Charge fee(s) indicated below Credit any overpayments
 Charge any additional fee(s) or any underpayment of fee(s)
 Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.

FEE CALCULATION

1. BASIC FILING FEE

Large Entity	Small Entity	Fee Description	Fee Paid
Fee Code (\$)	Fee Code (\$)		
1001 770	2001 365	Utility filing fee	
1002 340	2002 170	Design filing fee	
1003 530	2003 265	Plant filing fee	
1004 770	2004 385	Reissue filing fee	
1005 160	2005 80	Provisional filing fee	
SUBTOTAL(1) (5)	0.00		

2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE

Large Entity	Small Entity	Fee from below	Fee Paid
		Extra Claims	
Total Claims	9	-20** = 0	x = 0.00
Independent Claims	3	-3** = 0	x = 0.00
Multiple Dependent			
SUBTOTAL (2) (5)	0.00		

** or number previously paid, if greater; For Reissues, see above

FEE CALCULATION (continued)

3. ADDITIONAL FEES

Large Entity Small Entity

Fee Code (\$)	Fee (\$)	Fee Code (\$)	Fee (\$)	Fee Description	Fee Paid
1051	130	2051	65	Surcharge - late filing fee or oath	
1052	50	2052	25	Surcharge - late provisional filing fee or cover sheet	
1053	130	1053	130	Non-English specification	
1812	2,520	1812	2,520	For filing a request for ex parte reexamination	
1804	920*	1804	920*	Requesting publication of SIR prior to Examiner action	
1805	1,840*	1805	1,840*	Requesting publication of SIR after Examiner action	
1251	110	2251	65	Extension for reply within first month	
1252	420	2252	210	Extension for reply within second month	
1253	950	2253	475	Extension for reply within third month	
1254	1,480	2254	740	Extension for reply within fourth month	
1255	2,010	2255	1,005	Extension for reply within fifth month	
1401	330	2401	165	Notice of Appeal	
1402	330	2402	165	Filing a brief in support of an appeal	
1403	290	2403	145	Request for oral hearing	
1451	1,510	1451	1,510	Petition to institute a public use proceeding	
1452	110	2452	55	Petition to revive - unavoidable	
1453	1,330	2453	665	Petition to revive - unintentional	
1501	1,330	2501	665	Utility issue fee (or reissue)	
1502	480	2502	240	Design issue fee	
1503	640	2503	320	Plant issue fee	
1460	130	1460	130	Petitions to the Commissioner	
1807	50	1807	50	Processing fee under 37 CFR 1.17(q)	
1808	150	1808	180	Submission of Information Disclosure Stmt	180.00
5021	40	5021	40	Recording each patent assignment per property (times number of properties)	
1809	770	2809	385	Filing a submission after final rejection (37 CFR 1.129(a))	
		1810	770	2810	385 For each additional invention to be examined (37 CFR 1.129(b))
		1801	770	2801	385 Request for Continued Examination (RCE)
		1802	900	1802	900 Request for expedited examination of a design application
				Other fee (specify)	
				*Reduced by Basic Filing Fee Paid	SUBTOTAL (3) (\$ 180.00)

(Complete if applicable)

SUBMITTED BY	Timothy W. Lohse	Registration No. (Attorney/Agent)	35,255	Telephone	650-833-2000
Signature	Timothy W. Lohse			Date	November 26, 2003

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Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Mailing Date: November 26, 2003
U.S. Serial No.: 09/805,646
Filing Date: March 13, 2001
Title: Automated Process Guidance System And Method

Enclosed are:

- 1) Transmittal Form (in duplicate);
- 2) Fee Transmittal (in duplicate);
- 3) Response to Office Action ;
- 4) Information Disclosure Statement w/ PTO Form/SB/08a & b;
- 5) Thirteen (13) references;
- 6) Certificate of first class mailing;
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Mailing Date: November 26, 2003
U.S. Serial No.: 09/805,646
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1040659-991101 (Kadiri Inc.)
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